

**Document Readers**

# EPA Award Document

**Title: Compliance and Permit Assurance Program****Document Status****Document Phase:** Final**Current Editor:** John Owen**Delegate:** Janet Stephens**Last Modified:** 09/27/2007**Previous Editor:** John Owen**Application Information****Amount Requested:** \$0**Date Received:** 08/02/2004**Date Signed:** 05/27/2004**Award Information****Awarding Region:** EPA R2**Grant Number:** 98283603-1**Record Type:** F**Program Code:** X1 - Solid Waste Management Assistance: Training Education Studies and Demonstrations**Grant Type:** Non-Construction**Agreement Type:** Assistance Amendment**Payment Method:** ASAP**ACH#:** E512**Payment Office:** Region 2 Financial  
Management Branch**Postponed:****Funding Pkg Date:****Mailing Date:** 07/28/2005**Award Date:** 07/27/2005**Release Date:****Notification Date:****Acceptance Date:** 08/08/2005**ODN:** X198283603**Recipient Information****Name and Address of Recipient****Applicant Type:** Indian Tribe**Applicant Name:** SRMT - Saint Regis Mohawk Tribe**DUNS:** 037365780**Address:** 412 State Route 37**City:** Akwesasne**County:** St. Regis Mohawk Indian  
Reservation**State:** NY**Zip:** 13655**Congressional Dist:** 24**EIN:** 16-1007650**Organizational Unit:** Environmental Division**Sub Org. Unit:****NSF Code:****Minority Institution:****Name and Address of Payee****Payee Name:** Saint Regis Mohawk Tribe**Address:** 412 State Route 37**City:** Akwesasne**State:** NY**Zip:** 13655**Recipient Point Of Contact****Project Manager:** Ken Jock**Title:** Project Manager**Fax:****E-Mail:****Phone:** 518-358-5937**Address:** 412 State Route 37**City:** Akwesasne**State:** NY**Zip:** 13655**EPA Contacts****Grant Specialist:** John Owen**Office:** Grants and Contracts  
Management Branch**GS ID:** OJH**Mail Code:** OPM/GCMB

<b>E-Mail:</b>	Owen.John@epamail.epa.gov	<b>Phone:</b>	212-637-3415
<b>Project Officer:</b>	Lorraine Graves	<b>Approving Region:</b>	EPA R2
<b>Title:</b>	Project Officer		
<b>E-Mail:</b>		<b>Phone:</b>	212-637-4099
<b>Address:</b>	290 Broadway, 22nd Floor	<b>Mail Code:</b>	DEPP-RPB
<b>City:</b>	New York		
<b>State:</b>	NY	<b>Zip:</b>	10007-1866
<b>Approval Office Div:</b>	Division of Environmental Planning and Protection		
<b>Address:</b>	290 Broadway		
<b>City:</b>	New York		
<b>State:</b>	NY	<b>Zip:</b>	10007-1866
<b>Approval Office Address Printed on the OMB</b>			
<b>Region:</b>			
<b>Division:</b>			
<b>Address:</b>			
<b>City:</b>			
<b>State:</b>		<b>Zip:</b>	
<b>Congressional Liaison:</b>	Barbara Brooks	<b>Phone:</b>	202-564-2783
<b>AAShip/RAShip:</b>	R2 - Region 2		
<b>Division/Office:</b>	Division of Environmental Planning and Protection		
<b>Lab/Office:</b>			
<b>Branch:</b>			

#### Project Information

<b>CFDA:</b>	66.808 - Solid Waste Management Assistance		
<b>Media:</b>	Solid Waste		
<b>Project Title:</b>	Compliance and Permit Assurance Program		
<b>Description:</b>	Funding is provided to assist the St. Regis Mohawk Tribe in the development and execution of a compliance system for dealing with open dump violations by community members and to develop a permit application and issue an operational permit for their solid waste management facility.		
<b>Explan. of Changes:</b>	Terms & Conditions (Major); Time Extension (Amendment); This amendment extends the budget and project period expiration dates from June 30, 2004 to December 31, 2006. This additional time will allow for the completion of work under this agreement. There is no change to the scope of work or to the amount of funding under this agreement. In addition, Administrative Condition Nos. 10 (Suspension and Debarment) 11, (Indirect Cost - Indian Tribal Governments) and 12 (Disadvantaged Business Enterprises for Puerto Rico, Virgin Islands and Indian Tribes Part 31 (Revised 2005) are added to the agreement.		
<b>Areas Affected by Project</b>			
<b>State(s):</b>	NY		
<b>County/Countries:</b>	St. Regis Reservation		
<b>City/Cities:</b>	St. Regis Reservation		
<b>Congressional Dist:</b>	24		
<b>Zip Code(s):</b>			
<b>Budget Period Cost:</b>	\$63,300	<b>Project Period Cost:</b>	\$63,300
<b>Project Period Start:</b>	07/01/2003	<b>Project Period End:</b>	12/31/2006
<b>Budget Period Start:</b>	07/01/2003	<b>Budget Period End:</b>	12/31/2006
<b>Applicant ID:</b>	<b>State ID:</b>		
<b>Statutory Auth:</b>	Solid Waste Disposal Act: Sec. 8001		
<b>Regulatory Auth:</b>	40 CFR PART 31		
<b>Special Tracking Code:</b>	S - Small Grant		
<b>High Risk / Special Payment:</b>			
<b>International</b>			
<b>Work Performed outside the U.S.:</b>			

**Competition, EPA Order 5700.5A1 - Amendment**

**Was the amendment competed in accordance with the Competition Policy?** No

**Enter the reason why this amendment was not competed based on the Competition Policy (EPA Order 5700.5A1).**

The amendment is a no-cost amendment for a time extension to perform the work and/or for using unexpended funds for additional within scope work

**Competition code:** I

**Award Document Attachments****Fiscal Information**

**Vendor Code:** 161007650A2

**Accounting Period:**

**COMPASS Status:**

Line	Site Name	Req No	Fiscal Yr	Approp Code	BO Code	PRC	Object Class	Amount	Site Project	Cost Org Code

**Award Amount**

Funds	Former Award	This Action	Amended Total
<b>EPA Amount This Action:</b>	\$63,300	\$	\$63,300
<b>EPA In-Kind Amount:</b>	\$0	\$	\$0
<b>Unexpended Prior Yr. Bal:</b>	\$0	\$	\$0
<b>Other Federal Funds:</b>	\$0	\$	\$0
<b>Recipient Contribution:</b>	\$0	\$	\$0
<b>State Contribution:</b>	\$0	\$	\$0
<b>Local Contribution:</b>	\$0	\$	\$0
<b>Other Contribution:</b>	\$0	\$	\$0
<b>Allowable Project Cost:</b>	\$63,300	\$0	\$63,300

**Approved Budget**

Table A - Object Class Category (Non-construction)	Total Approved Allowable Budget Period Cost
<b>1. Personnel</b>	\$31,967
<b>2. Fringe Benefits</b>	\$11,754
<b>3. Travel</b>	\$500
<b>4. Equipment</b>	\$0
<b>5. Supplies</b>	\$3,018
<b>6. Contractual</b>	\$5,000
<b>7. Construction</b>	\$0
<b>8. Other</b>	\$0
<b>9. Total Direct Charges</b>	\$52,239
<b>10. Indirect Costs: Rate % Base</b>	\$11,061
<b>11. Total (Share: Recipient 0.00 % Federal 100.00 %.)</b>	\$63,300
<b>12. Total Approved Assistance Amount</b>	\$63,300
<b>13. Program Income</b>	\$0
<b>14. Total EPA Amount Awarded This Action</b>	\$0
<b>15. Total EPA Amount Awarded To Date</b>	\$63,300

**Administrative & Programmatic Conditions****Administrative Conditions**

All Terms and Conditions to the previous award No. X1982836-03-0, notwithstanding any previously acknowledged as having been complied with, are incorporated by reference herein.

The following administrative conditions are added to the agreement:

#### **10. SUSPENSION AND DEBARMENT**

Recipient shall fully comply with Subpart C of 40 CFR Part 32, entitled "Responsibilities of Participants Regarding Transactions." Recipient is responsible for ensuring that any lower tier covered transaction, as described in Subpart B of 40 CFR Part 32, entitled "Covered Transactions," includes a term or condition requiring compliance with Subpart C. Recipient is responsible for further requiring the inclusion of a similar term or condition in any subsequent lower tier covered transactions. Recipient acknowledges that failing to disclose the information required under 40 CFR 32.335 may result in the delay or negation of this assistance agreement, or pursuance of legal remedies, including suspension and debarment.

Recipient may access the Excluded Parties List System at <http://epls.arnet.gov>. This term and condition supersedes EPA Form 5700-49, "Certification Regarding Debarment, Suspension, and Other Responsibility Matters."

#### **11. INDIRECT COSTS - INDIAN TRIBAL GOVERNMENTS**

Pursuant to OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," Indian tribal government recipients that do not have a previously established indirect cost rate must submit their indirect cost rate proposals to:

National Business Center  
Indirect Cost Services  
U.S. Department of the Interior  
2180 Harvard Street, Suite 430  
Sacramento, CA 95815-3317

#### **12. DISADVANTAGED BUSINESS ENTERPRISES FOR PUERTO RICO, VIRGIN ISLANDS AND INDIAN TRIBES PART 31 GRANTEES (REVISED 2005)**

The recipient agrees to follow the six affirmative steps stated in 40 CFR 31.36(e) and to require its prime contractor to follow these affirmative steps if it awards subcontracts; the recipient also agrees to retain records documenting compliance.

The six affirmative steps contained in 40 CFR 31.36(e) are as follows:

- (1) Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
- (2) Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;
- (3) Dividing total requirements, when economically feasible, into smaller tasks or

quantities to permit maximum participation by small and minority businesses, and women's business enterprises;

(4) Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority businesses, and women's business enterprises;

(5) Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce; and

(6) Requiring the prime contractor, if subcontracts are to be let, to take the affirmative steps listed in 1 - 5 above

The recipient agrees to submit an EPA Form 5700-52A, "MBE/WBE Utilization Under Federal Grants, Cooperative Agreements and Interagency Agreements" beginning with the Federal fiscal year quarter the recipient receives the award and continuing until the project is completed. These reports must be submitted to Otto Salamon, the Region 2 Small Disadvantaged Business Utilization Officer, Grants and Contracts Management Branch, 290 Broadway, New York, New York 10007 within 30 days of the end of the Federal fiscal quarter ( January 30, April 30, July 30 and October 30).

#### Programmatic Conditions

All Programmatic Conditions remain the same.

#### EPA Review

#### EPA Signature

**Award Official:** John Owen

**Printed Name:**

**Title:** Grants Management  
Specialist

**Phone:** 212-637-3415

**Delegate:**

**Title:**

**Phone:**

Signature:

#### Recipient Review

**Read Access:** Controlled

**Applicant POC:** Ken Jock

**Title:** Project Manager

**Phone:** 518-358-5937

**Readers:**

**Submitted:**

**Approvers:**

**Submitted:**

**Due Date:**

Response	Approver	Date

#### Recipient Acceptance

**Authorized Rep:**

**Printed Name:**

<b>Title:</b> Tribal Chiefs	<b>Phone:</b>
<b>Delegate:</b>	
<b>Title:</b>	<b>Phone:</b>

Signature:

Action Code Table

Entry	Date	Action
P	08/02/2004	1 - Application Received By EPA
P	07/27/2005	F - Award

Origination Information
Notifications History